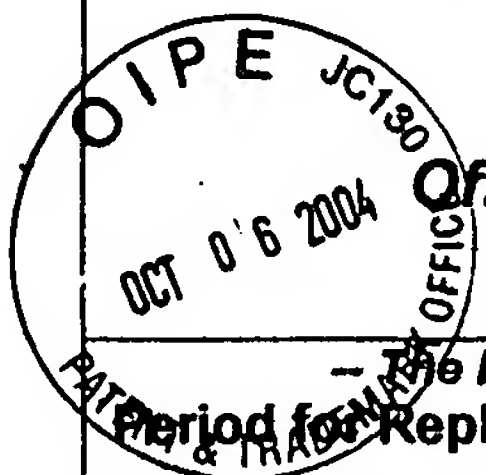


REFERENCE COPY



Office Action Summary

Application No.

10/604,451

Applicant(s)

MCCARTHY, ROBERT J

Examiner

Theodore M. Blum

Art Unit

3662

— The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
 Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☐ Responsive to communication(s) filed on ____.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-14 is/are pending in the application.
- 4a) Of the above claim(s) ____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) ____ is/are allowed.
- 6) ☒ Claim(s) 1-14 is/are rejected.
- 7) ☐ Claim(s) ____ is/are objected to.
- 8) ☐ Claim(s) ____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on ____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
 Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. ____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
 Paper No(s)/Mail Date ____.
- 4) ☐ Interview Summary (PTO-413)
 Paper No(s)/Mail Date. ____.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: ____.



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PATENT APPLICATION INFORMATION RETRIEVAL



Search results as of: 9-16-2004::23:36:56 E.T.

Search results for application number: 10/604,451			
Application Number:	10/604,451	Customer Number:	31285
Filing or 371(c) Date:	07-22-2003	Status:	Non Final Action Mailed
Application Type:	Utility	Status Date:	05-12-2004
Examiner Name:	MULL, FRED H	Location:	ELECTRONIC
Group Art Unit:	3682	Location Date:	-
Confirmation Number:	1450	Earliest Publication No:	US 2004-0104845 A1
Attorney Docket Number:	tk-001	Earliest Publication Date:	06-03-2004
Class/ Sub-Class:	342/463	Patent Number:	-
First Named Inventor:	Robert McCarthy, Everett, MA (US)	Issue Date of Patent:	-
Title Of Invention:	System, Method, and Product for Derivative-Based Wagering Racing Application		

Search Options

Assignments
Continuity Data
Display References
Image File Wrapper
Publication Review
Published Documents

File History

Date	Contents Description
06-14-2004	Case Docketed to Examiner in GAU
05-12-2004	Mail Non-Final Rejection
05-11-2004	Non-Final Rejection

http://pair-direct.uspto.gov/cgi-bin/final/printer_friendly.pl

9/16/2004

11-07-2003	IFW TSS Processing by Tech Center Complete
11-07-2003	Case Docketed to Examiner in GAU
07-22-2003	Information Disclosure Statement (IDS) Filed
09-12-2003	Application Return from OIPE
09-12-2003	Application Return TO OIPE
09-12-2003	Application Dispatched from OIPE
09-12-2003	Application Is Now Complete
08-14-2003	Cleared by L&R (LARS)
08-13-2003	Referred to Level 2 (LARS) by OIPE CSR
08-07-2003	IFW Scan & PACR Auto Security Review
07-22-2003	IFW Scan & PACR Auto Security Review
07-22-2003	Initial Exam Team nn